

Code of Ethics

Alhena Science is promoting definitive innovative therapeutic approaches for liquid tumours exploiting the identification and characterization of tumor-specific antigens along with technology tools in cell and gene therapy generated over two decades of research in translational medicine.

Alhena Science intends to exploit new advances in improving quality of life and survival of affected patients, devoting all efforts in devising treatment strategies with diligence, competence, efficiency, caution, and honesty, in compliance with health authority and hospital regulations adhering to highest ethical standards.

To realize this aspiration, it needs to earn and maintain the trust and support of patients, employees, consultants, shareholders, healthcare partners, and society at large. Patient focus, integrity transparency and respect are fundamental values to foster the development of definitive and curative therapies and to be accountable for this goal.

Principles of Alhena Science Code of Ethics

Focusing on patient's benefit	Disclosing conflicts of interest
Conducting research ethically	Maintaining confidentiality
Acting with integrity and transparency	Keeping our promises

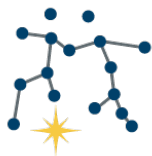
1. Focusing on patient's benefit

Patient benefit and safety is at the heart of everything we do. We foster the effort to focus on enabling better patient outcomes and provide innovative solutions to patient needs, while adhering to the respective laws governing those activities. Compromising patient benefit or safety is not an option.

In promoting our research and development activities we strive to ensure the rights, safety and well-being of all participants. We are committed to a global set of core ethical principles based on the Declaration of Helsinki and the principles of Good Clinical Practice. When we have to use animals for research purposes we are committed to minimizing their discomfort and pain. We will use alternatives to animal research whenever possible.

Product quality and safety is central, meeting all regulatory requirements and pursuing quality beyond compliance in products and processes.





2. Conducting research ethically

In advancing science, we have to protect our patients and care for their well-being, to safeguard patients' personal information and confidentiality, and to share research data with the scientific community.

We relentlessly engage in a process of continuous innovation and adaptation, along with learning and accountability.

We adhere to research and development protocols and to ethical, scientific and medical standards to guarantee safety and well-being of patients participating to a clinical study.

3. Acting with integrity and transparency

We are committed to outstanding and sustainable performance with integrity and transparency.

We treat our associates, partners, employees and consultants fairly, equally and respectfully. We expect they demonstrate respect toward each other, and we do not tolerate any form of harassment or discrimination. We protect them from unfair or unethical working conditions, including bonded, forced or child labor, or any unsafe working conditions.

We seek to create an inclusive work environment where associates regardless of their backgrounds can contribute fully. We appreciate the diversity and individuality of our associates and do not discriminate based on personal characteristics such as nationality, gender, age, ethnicity, religion, sexual orientation or disability.

We do not compromise our financial integrity. Financial risks and operational measures must be appropriately reviewed and approved. We provide timely, accurate and complete financial information and maintain effective controls over financial reporting to ensure a complete and accurate record of our financial transactions.

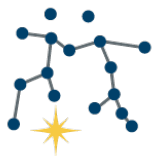
We do not tolerate any form of fraud, bribery, or corruption. We do not bribe any public official or private person, and we do not accept any bribes. We are committed to fair competition and will not breach competition laws and regulations. We encourage to report any suspected corruption and speak up about situations that could be open to fraud, bribery or corruption.

We comply with all laws and regulations applicable to our activities. We also implement and comply with our internal policies. In addition, we expect third parties with whom we work with to comply with the law, to adhere to ethical business practices, and to observe our standard requirements concerning labor, health, safety, environmental protection and management systems.

4. Disclosing conflicts of interest

Personal interests must not influence our business judgment or decision making. Employees and consultants must disclose actual or potential conflicts of interest. Newly hired people are requested to disclose any actual





or potential conflicts of interest before they begin employment.

5. Maintaining confidentiality

We protect our investments in intangible assets by obtaining, enforcing and defending intellectual property (IP) rights and by maintaining confidentiality of sensitive information. We also respect legitimate IP rights of others. IP created, developed or obtained by employees and consultants belongs to Alhena Science.

We protect the confidentiality, integrity and availability of critical information, regardless of its form and location.

We respect the privacy rights of our partners, associates, employees, and consultants along with patients, physicians, and other stakeholders.

6. Keeping our promises

We keep our promise and acknowledge commitment. We understand the promise, analyzing what is needed, creating adequate plans and timelines to fulfill it, and fostering honest lines of communication. This is the basis to build and protect our reputation.

When in Doubt

When in doubt about a choice ask yourself:

1. Is it consistent with our values?
2. Have I considered all associated risks?
3. How would I react if I were a patient?
4. Can it be clearly understood by a colleague?
5. Does it meet laws and regulations?
6. Is it consistent with our policies?

If you still have a doubt, speak up.

